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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/666,399	09/18/2003	Michael S. Leung	P0298US-7	8955

7590 09/05/2008  
Jaye G. Heybl  
KOPPEL, JACOBS, PATRICK & HEYBL  
Suite 107  
555 St. Charles Drive  
Thousand Oaks, CA 91360

EXAMINER
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KALAM, ABUL

ART UNIT	PAPER NUMBER
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2814

MAIL DATE	DELIVERY MODE
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09/05/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/666,399	<b>Applicant(s)</b> LEUNG ET AL.	
	<b>Examiner</b> Abul Kalam	<b>Art Unit</b> 2814	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 6/16/08.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-13, 15-33 and 35-45 is/are pending in the application.
- 4a) Of the above claim(s) 1-12, 20-33 and 35-41 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 13, 15-19 and 42-45 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>11/13/07, 12/31/07, 01/07/08, 05/27/08</u> .                  | 6) <input type="checkbox"/> Other: _____                          |



### ***Election/Restrictions***

Applicant's election of Species I in the reply filed on June 16, 2008 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

### ***Claim Objections***

1. Claim 17 is objected to because of the following informalities: In line 2 of claim 17, the limitation of "treating said semiconductor material" should be amended to "treating said coating material," to avoid antecedent basis issues.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 13, 15-19, 44, and 45 are rejected under 35 U.S.C. 102(b) as being anticipated by Mitchell et al. (US 5,766,987).

Regarding claim 13, Mitchell discloses a method (Figs. 3-5) for coating a plurality of semiconductor device or LEDS, comprising:

providing a mold (10, 32, Fig. 3), with a formation cavity (90, Fig. 5) for holding a plurality of semiconductor devices (50), said formation cavity (90) at least partially defined by upper (32) and lower (10) sections of the mold (col. 8, Ins. 16-18);

mounting the plurality of semiconductor devices (50, Fig. 3) within said mold formation cavity to the lower section (10), with a film (52 and 84) between said semiconductor devices (50) and said upper and lower sections (32 and 10; col. 6, Ins. 48-55), each of said semiconductor devices (50) being mounted separately within the formation cavity (there is a space or opening between each device 50, Fig. 3);

injecting or otherwise introducing curable coating material (51, Fig. 5; col. 8, Ins. 59-63; col. 9, Ins. 5-18) into said mold to fill said mold formation cavity (90, Fig. 5) and at least partially covering said semiconductor devices (50, Fig. 5) with coating material (51) and contacting said film (52, 84, Fig. 5; col. 8, Ins. 61-62); and

curing or otherwise treating said coating material (51, Fig. 5; col. 9, Ins. 33-45) so that said semiconductor devices (50, Fig. 3) are at least partially embedded in said cured coating material (51); and

removing said cured or treated coating material (51, Fig. 5) with said embedded semiconductor devices (50) from said formation cavity by releasing said film (52, col. 7, Ins. 11-15) and said upper and lower sections (32 and 10) from said coating material and said semiconductor devices (50) leaving said coating material uncovered (col. 9, Ins. 48-53).

Regarding claim 15, Mitchell discloses the method further comprising separating said embedded semiconductor devices so that each is at least partially covered by a layer of said cured or treated coating material (col. 9, Ins. 49-56).

Regarding claims 16, Mitchell discloses the method wherein said upper and lower sections (32 and 10, Fig. 4) provide opposing parallel surface, said semiconductor devices (50) arranged on one or both of said opposing surfaces (14, Fig. 3).

Regarding claims 17, Mitchell discloses the method claim wherein said curing or otherwise treating said coating material comprises one of the methods from the group comprising heat curing, optical curing or room temperature curing (col. 9, Ins. 32-39).

Regarding claim 18, Mitchell discloses the method wherein the semiconductor devices are separated by dicing or scribe and break (col. 9, Ins. 51-54).

Regarding claim 19, Mitchell discloses the method wherein the semiconductor devices are separated such that the layer of cured or otherwise treated coating material conforms to the shape of the semiconductor device (col. 9, Ins. 38-56).

Regarding claim 44, Mitchell discloses wherein said plurality of semiconductor devices comprising contacts with one of said contacts (64, Fig. 4) covered by said film (84, Fig. 4).

Regarding claim 45, Mitchell discloses wherein said removing the cured or treated coating material with the embedded semiconductor devices by releasing said film leaves said contacts uncovered by said coating material (col. 9, Ins. 49-51: it is implicit that once top cover layer 84 is removed, the contacts will be exposed).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

3. Claims 42 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mitchell et al. ('987; cited above) in view of Soules et al. (US 6,252,254; previously cited).

Regarding claim 42, Mitchell discloses all the limitations of the claim, as set forth above in claim 13, with the exception of explicitly disclosing wherein said semiconductor devices comprise light emitting diodes (LEDs). However, Soules discloses that solid state light sources, such as LEDs have been around for many years. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate LEDs into Mitchell's device, because such elements are well known and conventional in the art. Furthermore, LED packages are commonly used for applications such as displays and other lighting systems.

Regarding claim 43, Mitchell does not disclose wherein said curable coating material comprises a matrix material containing light conversion particles.

However, Soules teaches a method for coating LED devices, wherein a curable coating material comprises a matrix material (15, Fig. 2), containing light conversion particles (col. 3, Ins. 49-56: "phosphors embedded in polymer"), produces white light output having pleasing characteristics (col. 3, Ins. 34-36). Therefore, at the time the of the invention, it would have been obvious to one of ordinary skill in the art to use the encapsulating material containing light conversion particles, as taught of Soules, for intended use in applications such as display devices.

### ***Response to Arguments***

4. Applicant's arguments with respect to claims 13, 15-19 and 42-45 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any



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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Abul Kalam whose telephone number is (571)272-8346. The examiner can normally be reached on Monday - Friday, 9 AM - 5 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael M. Fahmy can be reached on 571-272-1705. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/A. K./  
Examiner, Art Unit 2814

/Phat X. Cao/  
Primary Examiner, Art Unit 2814